

2173  
#3.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. 062908/0104

Applicant: Ronald M. PERKES et al.

Title: CROSS TECHNOLOGY MONITORING PROFILING AND  
PREDICTIVE CACHING METHOD AND SYSTEM

Serial No.: 09/994,905

Filed: November 28, 2001

Examiner: Unassigned

Art Unit: 2173

**RECEIVED**

DEC 26 2001

Technology Center 2100

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §1.56 and 37 CFR §1.97**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to Applicant in order to comply with Applicant's duty of disclosure pursuant to 37 CFR 1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR 1.97 and 1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a prima facie prior art reference against the claims of the present application.

**TIMING OF THE DISCLOSURE**

The instant Information Disclosure Statement is being filed in compliance with 37 C.F.R. §1.97(b) within three (3) months of the filing date of the above-identified application.

**RELEVANCE OF EACH DOCUMENT**

Each of the listed documents is a U.S. patent application, and thus no relevance description needs to be provided.

Applicant respectfully requests that the listed documents be considered by the Examiner and formally be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP §609.

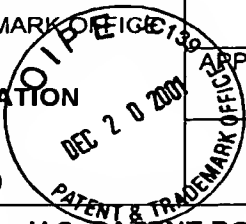
Respectfully submitted,

December 20, 2001  
Date

Phillip J. Articola  
Phillip J. Articola  
Registration No. 38,819

FOLEY & LARDNER  
Washington Harbour  
3000 K Street, N.W., Suite 500  
Washington, D.C. 20007-5109  
Telephone: (202) 672-5300  
Facsimile: (202) 672-5399

Form PTO-1449 (MODIFIED)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 062908/0104	SERIAL NO. 09/994,905
<b>INFORMATION DISCLOSURE CITATION</b> Date: <b>December 20, 2001</b> <i>(Use several sheets if necessary)</i>		APPLICANT Ronald M. PERKES et al	
		November 28, 2001	GROUP ART UNIT 2173



U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
	A1	5,758,257	05/26/1998	HERZ et al.	455	2	
	A2	5,760,821	06/02/1998	ELLIS et al.	348	10	
	A3	5,801,747	09/01/1998	BEDARD	348	1	
	A4	5,805,235	09/08/1998	BEDARD	348	569	
	A8	5,850,218	12/15/1998	LAJOIE et al.	345	327	
	A8	5,867,226	02/02/1999	WEHMEYER et al.	348	569	
	A4	5,926,207	07/20/1999	VAUGHAN et al.	348	13	
	A8	5,945,988	08/31/1999	WILLIAMS et al.	345	327	
	A8	5,978,043	10/26/1999	CRAGUN et al.	348	327	
	A10	5,978,043	11/02/1999	BLONSTEIN et al.	348	569	
	A11	6,005,565	12/21/1999	LEGALL et al.	345	327	
	A12	6,005,597	12/21/1999	BARRETT et al.	348	1	
	A13	6,005,631	12/21/1999	ANDERSON et al.	348	460	
	A14	6,020,883	02/01/2000	HERZ et al.	345	327	
	A15	6,025,837	02/15/2000	MATTHEWS, III et al.	345	327	
	A16	6,044,376	03/28/2000	KURTZMAN, II	707	102	
	A17	6,064,380	05/16/2000	SWENSON et al.	345	328	
	A18	6,078,348	06/20/2000	KLOSTERMAN et al.	348	10	
	A19	6,075,526	06/13/2000	ROTHMULLER	345	327	

**FOREIGN PATENT DOCUMENTS**

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
							YES	NO

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

--	--

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
-----------------	------------------------

\* **EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include any copy of this form with next communication to applicant.